

# Lingua Inglese 1

## Lectures 8-9

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1

### Intertextuality

- It concerns the factors which make the utilization of one text dependent upon knowledge of one or more previously encountered texts.
- The way in which the production and reception of a given text depends upon participants' knowledge of other texts. This knowledge can be applied by a process describable in terms of mediation (the extent to which one feeds one's current beliefs and goals into the model of the communicative situation)
- Extensive mediation is illustrated by the development and use of **TEXT TYPES**, being classes of texts expected to have certain traits for certain purposes.

2

## Intertextuality (2)

- Reliance of a text on previously encountered samples
- It makes reference to our ability to classify texts on the basis of certain criteria
- As a social group, we hold expectations regarding specific texts: standards of communicative purposes and typical features
- Although such expectations can only be probabilistic, since no two texts are completely alike, we can predict prototypical features

3

## Intertextuality (3)

- Schematic expectations concern
    - Goals
    - Participant roles
    - Locutionary and illocutionary content
    - Relevant discourse strategies
    - Surface patterns at all linguistic levels
- These elements provide us with a standpoint of reference for analysis and help us establish the text type

4

## Introducing Text Types

- The role of speech acts and text communicative functions in text type identification
- The term “text type” in text linguistics refers to a number of quite distinct phenomena
- There is currently no unique or commonly accepted characterization of different possible text types
- **Text types** are global frameworks, “**classes of texts expected to have certain traits for certain purposes**”

5

## Text Types

- In general, text typologies are “**high/abstract level classifications**” dependent on different factors shared by groups of texts:
  - a. **Contextual factors:** e.g. the main communicative purpose of the text producer (writer or speaker), social relations and roles played by participants (text producer and receiver), the spoken/written channel, topic, etc.
  - b. **Cognitive factors** (extent of shared world knowledge between participants, but also mental processes closely related to purpose, i.e. perception in space/time, comprehension of concepts, etc).
  - c. **Linguistic factors on the text surface**

6

## Text Type Identification

- First step for text identification:
  - It is reasonable to assume that text producers start out from their knowledge of a repertoire of different types of texts, and within that repertoire pick out the type that seems most appropriate to their *current needs*.
- What is *the main communicative purpose* of the text producer? What is s/he trying *to do* with his/her text?
  - *Purpose* can be thought of in terms of Searle's classification of speech acts, and the text can be viewed as a *macro-act* with an *illocutionary force* of some kind.

7

## Text Type Identification (2)

- For example
  - with *an assertive/representative act*, the text producer attempts to present a state of affairs as something real
  - with a *directive act*, the text producer attempts to get the receiver to bring about a state of affairs (elicit some information from him/her or get something done)

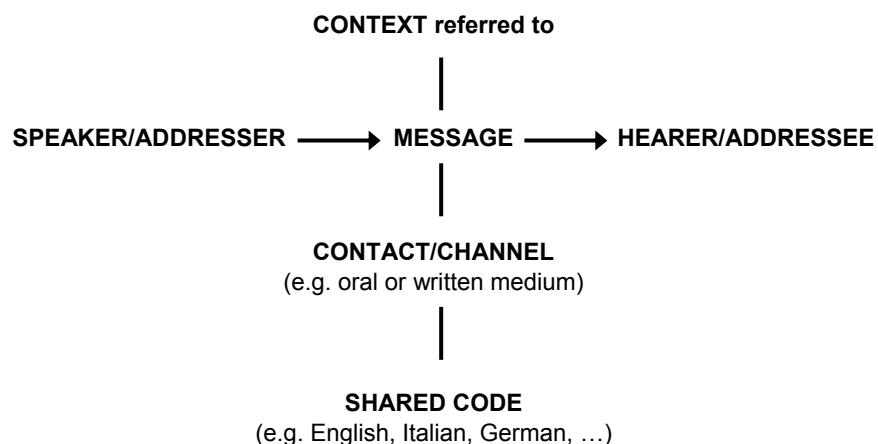
8

## The Purpose of the Text Producer

- The text producer's purpose is closely related to the **overall communicative function of language in the text**.
- Language serves a vast range of communicative needs. Any act of verbal communication (or text), be it oral or written, involves six basic elements. Following **Jakobson's model** (1971), each of the constitutive elements of the communicative act has a corresponding function

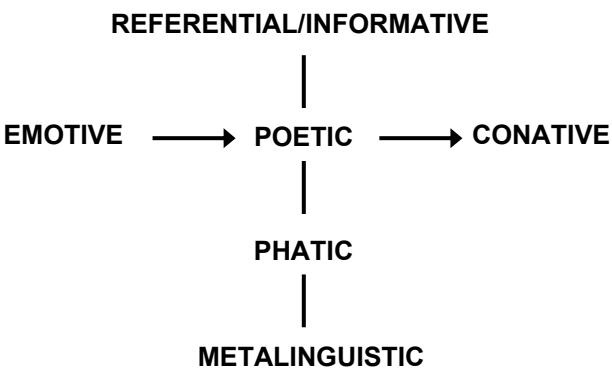
9

## A Basic Communication Model



10

## Functions of Language



11

## Language Functions

- Various functions are at play in any text.  
However, one function usually plays a more prominent or **dominant role** than the others.
- **EMOTIVE (OR EXPRESSIVE) FUNCTION**
  - it reflects the addresser's (or text producer) attitude to the topic of his/her discourse.
    - e.g. Lyrics, poetry, introspective (first person) narratives, biographies, love letters

12

## Language Functions (2)

### ■ CONATIVE (OR APPELATIVE) FUNCTION

- it reflects the aim of producing extra-linguistic effects on the *addressee* (orders, advice, requests, etc.)
  - e.g. Text written in the second person (cf. use of vocative and imperative forms)

### ■ POETIC FUNCTION

- it concerns the surface organization of the *message*. It foregrounds the decorative or aesthetic function of language (in Jakobson's words, *the message for its own sake*)
  - e.g. A great deal of literary works (esp. dadaist and surrealist poetry). The poetic function can be found even in prose texts (although in this case it may be of secondary importance)

13

## Language Functions (3)

### ■ REFERENTIAL (OR INFORMATIVE) FUNCTION

- it focuses on the relationship between message and the *context* of our knowledge of the external world (i.e. what the message is about, or what is being referred to)
  - e.g. Everyday speech, news reports (but also the emotive function, poetic function, etc, may be involved)

### ■ PHATIC FUNCTION

- it is centered on the *channel* used and thus on the contact between addresser and addressee.
  - e.g. Use of language to maintain a social relation through ritualized formulas such as greetings, chit-chat the weather

14

## Language Functions (4)

### ■ METALINGUISTIC FUNCTION

- it focuses on language speaking of itself, its purpose being to clarify the manner in which the verbal *code* is used
  - e.g. Stage directions, textbooks

15

## Text Type and Illocutionary Purpose

- Correlation between illocutionary purposes and communicative functions:
  - assertive/represent. act — inform./refer. function
  - directive act — conative function
- Both main illocutionary purposes and the dominant communicative function can be regarded as a help for identifying the type to which texts belong

16

## Text Types: Definitions and Main Features of Surface Structures

- Text types have been traditionally defined on the basis of general cognitive properties associated with modes of discourse presentation from classic rhetoric (narration, description, exposition, argumentation) (e.g. Werlich 1976)
- Text types have also been defined on the basis of strictly linguistic, text-internal criteria, i.e. similarities in the use of highly frequent co-occurring lexical and syntactic features (Biber, 1989)

17

## Text Types: Definitions and Main Features of Surface Structures (2)

- Following Werlich (1976), the term **text type** refers to an abstract concept or idealized norm, which corresponds to the language user's competence of the rules for the structuring of the text at a deep level. He distinguishes 5 idealized types:
  - **Description**
  - **Narration**
  - **Exposition**
  - **Argumentation**
  - **Instruction**
- Can you identify text types for texts 1 to 7?

18

## Description

- *Main illocutionary purpose:* the text producer of **DESCRIPTIVE TEXTS** intends to represent and convey a mental picture of a scene to the receiver (with objects, people, situations) as perceived in space. Indeed, from a cognitive point of view, descriptive texts are linked with the mental process of perception in space
- *The dominant communicative function is usually referential/informative* (but also **emotive** – in subjective description ...)

19

## Basic Divisions within the Descriptive Text Type

- Descriptive texts vary from general to detailed and from objective (technical description) to subjective (impressionistic description)
- Objective descriptions record objectively verifiable data (frequently supported by diagrams, illustrations, etc.), while subjective descriptions often give expression to the writer's personal attitudes, feelings and the like.
- Working with text 1
  - Which linguistic features tell us that this is a descriptive text?
  - Is it an example of subjective or objective description?

20

## Typical Features of Descriptive Text Type

- Informal register is usually associated with subjective text type divisions, while formal register is frequent in objective text type divisions and technical or specialized vocabulary.
- Formal register usually includes
  - Impersonal constructions (*it is important that ...*)
  - Passives (*it is commonly held that ...*)
  - Nominalizations (nouns in *-ment*, *-tion*, *-ness*, etc.)
  - Avoidance of imperatives, direct questions, first and second person personal pronouns, and contractions
  - Avoidance of colloquial vocabulary and idioms

21

## Examples of Typical Features of Descriptive Text Surface Structures

- They often make use of the verb *to be to* describe states of affairs
- They make use of quality attributing adjectives and spatial prepositions and adverbs
- They may use comparisons to help us picture the scene
- They often employ your five senses – how something feels, smells, looks, sounds and tastes (esp. subjective description)

22

## Narration

- *Main illocutionary purpose:* the text producer of **NARRATIVE TEXT** intends to convey to the receiver knowledge about events (factual or conceptual phenomena) as they happen in time. Narrative texts are related to the mental process of perception in time
- *The dominant communicative function* is usually **referential/informative**

23

## Basic Divisions within the Narrative Text Type

- Distinction can be drawn on the basis of
  - structure of the narrative sequence:
    - chronological ordering of events/actions
    - order of importance
    - cause-to-effect sequences
    - general to particular, ...
  - subjective or objective point of view of the sequence (the latter is also called "report")
- Working with text 2
  - Which linguistic features tell us that this is a narrative text?
  - Is it narration from an objective or subjective point of view?

24

## Typical Features of Narrative Text Surface Structures

- they typically exhibit action verbs (e.g. flow, eat, etc.) or verbs of change of state in the past
- as they are intimately connected with time, the elements of this type of text cohere by means of temporal conjunctions (e.g. when) and adverbs:

25

## Typical Features of Narrative Text Surface Structures (2)

- reference to a fixed point of time
  - *two years ago, the day before yesterday, last night today, at 9 o'clock, tomorrow, next month, ...*
- reference to an indefinite point of time
  - *first, then, next, finally (infine), afterwards, eventually (alla fine), later, once, lately, shortly, soon, just, since, ...*
- reference to a brief moment of time
  - *at once, in no time, in a minute ...*
- reference to a time span
  - *in the morning, in May, in spring, in 1999, in the year 2007, ...*
  - *on Sunday, on that day, on the morning of 4 July, on that occasion ...*
- reference to frequency :
  - *once a week, twice a month, three times a day, every other day, each winter, daily, yearly, fortnightly ...*
- indefinite frequency
  - *constantly, often, usually, sometimes, seldom, now and again, never, from time to time, once in a while, regularly ...*

## Exposition

- *Main illocutionary purpose:* the text producer of **EXPOSITORY TEXTS** intends to explain and clarify concepts that make up the receiver's encyclopedic knowledge. This text type is related to the mental process of comprehension of concepts either through a more detailed analysis or through synthesis
- The *dominant communicative function* of expository texts is the **referential/informative** function
- The participants (text producer and receiver) are usually an expert and a non-specialist receiver, who wants to know more about a given topic

27

## Exposition (2)

- Basic divisions within expository texts:
  - Differences are possible depending on the analytic or synthetic quality of the exposition, and on the subjective-objective point of view
  - Analytic exposition: expository essays are examples of subjective exposition, while definitions and explications are examples of objective exposition.
  - Synthetic exposition: summary
- Working with texts 3 and 4
  - Which linguistic features tell us that these are expository texts?

28

## Typical Features of Expository Text Surface Structure

- Provide info in a clear way
- The verb *to be* is often used to identify a phenomenon
- Frequent recourse to a specialized vocabulary
- Frequent use of the following:
  - **Illustrative expressions:** *namely, that is, that is to say, thus, so for example, e.g., i.e., for instance*
  - **Expressions introducing a more detailed section:** *especially, mainly, particularly*
  - **Expressions introducing topic transition:** *as for, with reference to, with regard to, with respect to, as far as X is concerned*
  - **Additive expressions:** *moreover, furthermore, also, in addition*

29

## Argumentation

- Argumentative texts focus on the evaluation of relations between concepts
- They are used to promote acceptance of certain ideas as true or false, or positive vs negative
- The text producer expresses an opinion and investigates its relation to opposing opinions
- Judging is the related mental process
- Main illocutionary purpose: persuade the receiver of the validity of a given opinion
- Dominant communicative function: **conative**

30

## Basic Divisions in Argumentative Texts

- Comment is argumentation from a subjective point of view
- Scientific argumentation is characterized by an objective point of view
- Working with Text 5
  - Which linguistic features tell us that this is an argumentative text?

31

## Typical Features of Argumentative Text Surface Structure

- Expressions of evaluation of pros and cons, esp. through adjectives such as *correct/incorrect*, *right/wrong*, *important* etc., and nouns and verbs (*advantages*, *improve*) and *comparisons* (*better*)
- Concessive sequences introduced by connectives, such as *in spite of*, *nevertheless*, *yet*, *however*
- Contrastive sequences with connectives such as *but*, *conversely*, *instead*, *by contrast*
- Illustrative cause/effect sequences introduced by *because*, *in that*, *this is the reason why*, *hence* (in order to support the text producer's claims)

32

## Instruction

- Instructional texts are related to the mental processes of planning someone's future behavior
- Main illocutionary purpose: tell the receiver what to do → get him/her to do something
- Dominant function: **conative**

33

## Basic Divisions in Instructional Texts

- Subjective point of view: practical instruction often based on the writer's authority
- This is usually determined by the writer's profession or role played in society, or by experience or knowledge
- Objective point of view: directions, rules, regulations relying on a public authority outside the text producer
- Working with text 6
  - Which linguistic features tell us that this is an instructional text?

34

## Typical Features of Instructional Texts

- Language is direct: use of imperative forms  
ellipsis
- Short sentences
- Enumerative sequences
- Style: linear order; *ordo naturalis*

35

## Fuzziness of Text Type Classification

- Most of the time, text types are heterogeneous, and they do not appear as belonging to just one specific type: in a single text it is possible to find parts of narration, description and argumentation
- There is always a text type sequence which has a dominant role
  - Text 7 (genre: advertisement) is a blend of text types (instructional and argumentative)
  - Text 2 is a narrative text (story) but also includes descriptive sequences
  - In text 4, a scientific article from a medical journal/book, the dominant type is expository, but narrative sequences can be identified as well

36

## Guidelines for Analysis

- **Main illocutionary purpose and text communicative function:** the text is trying to tell me something (informative function) or get me to do something (conative function) etc.
- **Dominant text type and relevant sequences belonging to other subtypes:** dominant text type of text 2 is a narration from a subjective point of view, but it also contains descriptive passages.
- **External format and situation of use:** where has the text been taken from?
  - Text 4 has the form of scientific article and is addressed to a non-expert public.
- **Dominant linguistic features of surface structure,** esp. cohesion (grammatical, referential, lexical), register (formal vs. informal), visual aids.

37